

U.S. House of Representatives
Committee on Ethics

EMPLOYEE POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from private sources for travel taken in connection with official duties. This form does not eliminate the need to report privately-funded travel on the annual Financial Disclosure Statements of those employees required to file them. In accordance with House Rule 25, clause 5, **you must complete this form and file it with the Clerk of the House, 135 Cannon House Office Building, within 15 days after travel is completed.** Please do not file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

Erin Doty

1. Name of Traveler: _____
2. a. Name of accompanying relative: _____ or None ☒
b. Relationship to Traveler: ☐ Spouse ☐ Child ☐ Other (specify): _____
3. a. Dates of departure and return: Departure: 11/28/2018 Return: 11/29/2018
b. Dates at personal expense (if any): _____ or None ☒
4. Departure city: Washington, DC Destination: New York, NY Return city: Washington
5. Sponsor(s) (who paid for the trip): Japan Center for International Exchange
6. Describe meetings and events attended: Dinner and panel with business leaders to discuss U S/Japan relations as well as outlook for 116th Congress.
7. Attached to this form are EACH of the following (*signify that each item is attached by checking the corresponding box*):
- a. ☒ a completed Sponsor Post-Travel Disclosure Form;
 - b. ☒ the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and Grantmaking or Non-Grantmaking Sponsor Forms;
 - c. ☒ page 2 of the completed Traveler Form submitted by the employee; **and**
 - d. ☒ the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda. (*Signify that statement is true by checking box*): ☒
b. If not, explain: _____

I certify that the information contained on this form is true, complete, and correct to the best of my knowledge.

SIGNATURE OF TRAVELER:  DATE: 11/21/2018

I authorized this travel in advance. I have determined that all of the expenses listed on the attached Sponsor Post-Travel Disclosure form were necessary and that the travel was in connection with the employee's official duties and would not create the appearance that the employee is using public office for private gain.

NAME OF SUPERVISING MEMBER: Raul Ruiz DATE: 11/21/2018

SIGNATURE OF SUPERVISING MEMBER: 

U.S. House of Representatives
Committee on Ethics

SPONSOR POST-TRAVEL DISCLOSURE FORM

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. *A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within 10 days of their return.* You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

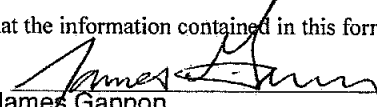
NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) (who paid for the trip): Japan Center for International Exchange
2. Travel Destination(s): New York, NY
3. Date of Departure: October 28, 2018 Date of Return: October 29, 2018
4. Name(s) of Traveler(s): Erin Doty
(NOTE: You may list more than one traveler on a form only if all information is identical for each person listed.)
5. Actual amount of expenses paid on behalf of, or reimbursed to, each individual named in response to Question 4:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Other Expenses (dollar amount per item and description)
Traveler	\$173.00	\$231.84	\$71.30	N/A
Accompanying Relative				

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. (Signify statement is true by checking box): ☒

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 
Name: James Gannon Title: Executive Director
Organization: Japan Center for International Exchange (JCIE/USA)

I am an officer of the above-named organization (signify statement is true by checking box): ☒

Address: 135 West 29th Street, Suite 303, NY, NY 10001

Telephone number: (212) 679-4130
Email Address: jgannon@jcie.org

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at (202) 225-7103.

Committee on Ethics

TRAVELER FORM

RECEIVED
2018 OCT 2 PM 5:09
COMMITTEE ON ETHICS

1. Name of Traveler: Erin Doty
2. Sponsor(s) (who will be paying for the trip): Japan Center for International Exchange

Travel destination(s): New York, New York

a. Date of departure 10/28/2018 Date of return: 10/29/2018

b. Will you be extending the trip at your personal expense? ☒ Yes ☒ No *ed*

If yes, dates at personal expense: 10/27/2018 and 10/30/2018 *Will not be accepting*

5. a. Will you be accompanied by a relative at the sponsor's expense? ☐ Yes ☒ No *outbound transportation by sponsor*

b. If yes:

(1) Name of accompanying relative: _____

(2) Relationship to traveler: ☐ Spouse ☐ Child ☐ Other (specify): _____

(3) Accompanying relative is at least 18 years of age: ☐ Yes ☐ No

6. a. Did the trip sponsor answer "yes" to Question 9(d) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or foreign agent and you are requesting lodging for two nights)? ☐ Yes ☒ No

b. If yes, explain why the second night of lodging is warranted: _____

7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: ☒ Yes ☐ No

NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.

8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.

As Legislative Director for a member of the House handling health care and foreign affairs, I am well positioned to speak to the US/Japan relationship and congressional agenda after the midterm elections.

9. Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning, organizing, requesting, and/or arranging the trip? ☐ Yes ☒ No

10. **FOR STAFF TRAVELERS:**

TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: 09/27/2018

[Signature]
Signature of Employing Member

**U.S. House of Representatives
Committee on Ethics**

PRIMARY TRIP SPONSOR FORM

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form at least 30 days before the start date of the trip. The trip sponsor should NOT submit the form directly to the Committee. The Committee Web site (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor (who will be paying for the trip): _____
Japan Center for International Exchange (JCIE/USA)
2. I represent that the trip will not be financed (in whole or in part) by a registered federal lobbyist or foreign agent (signify that the statement is true by checking box): ☒
3. Check only one: I represent that:
 - a. the primary trip sponsor has not accepted from any other source funds intended directly or indirectly to finance any aspect of the trip ☐ or
 - b. the trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds ☐ or.
 - c. the primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities. ☒If "c" is checked, list the names of the additional sponsors: _____
Japan-US Friendship Commission
4. Provide names and titles of ALL House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited (include additional pages if necessary):
Please see attached
5. Is travel being offered to an accompanying relative of the House invitee(s)? ☐ Yes ☒ No
6. Date of departure: October 28, 2018 Date of return: October 29, 2018
7. a. City of departure: Washington DC
b. Destination(s): New York, NY
c. City of return: Washington DC
8. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: ☐ or
 - b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: ☒ or
 - c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event and lobbyist/foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations. ☐
9. Check one of the following:
 - a. I checked 8(a) or (b) above: ☒
 - b. I checked 8(c) above but am not offering any lodging: ☐
 - c. I checked 8(c) above and am offering lodging and meals for one night: ☐ or
 - d. I checked 8(c) above and am offering lodging and meals for two nights: ☐If "d" is checked, explain why the second night of lodging is warranted: _____

10. Attached is a detailed agenda of the activities the House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees) (indicate agenda is attached by checking box): ☒

11. Check one:

- a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify that the statement is true by checking box): ☒ or
b. N/A – trip sponsor is a U.S. institution of higher education. ☐

12. For each sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip and its role in organizing and/or conducting the trip:

JCIE Promotes US-Japan dialogue on foreign policy issues and common challenges, and it is inviting senior Congressional staff to be guest speakers in a luncheon seminar on "The Congressional Agenda and US-Japan Relations in the 116th Congress." The seminar will allow Congressional staff who have taken part in JCIE's exchanges to share their insights with leaders in the US-Japan business community.

13. Answer parts a and b. Answer part c if necessary.

- a. Mode of travel: Air ☐ Rail ☒ Bus ☐ Car ☐ Other ☐ (Specify: _____)
b. Class of travel: Coach ☒ Business ☐ First ☐ Charter ☐ Other ☐ (Specify: _____)
c. If travel will be first class or by chartered or private aircraft, explain why such travel is warranted:

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify that the statement is true by checking box): ☒

15. I represent that either (check one of the following):

- a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: ☐ or
b. The trip involves events that are arranged specifically *with regard* to congressional participation: ☒

If "b" is checked:

1) Detail the cost per day of meals (approximate cost may be provided): _____
est. \$74 for dinner, breakfast & seminar lunch

2) Provide reason for selecting the location of the event or trip: _____
JCIE is based in New York, and New York is the center of the US-Japan community in the United States.

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:

Hotel name: Crowne Plaza Hotel City: New York Cost per night: \$232

Reason(s) for selecting: Affordable price & walking distance from venue

Hotel name: _____ City: _____ Cost per night: _____

Reason(s) for selecting: _____

Hotel name: _____ City: _____ Cost per night: _____

Reason(s) for selecting: _____

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. (signify that the statement is true by checking box): ☒

18. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input checked="" type="checkbox"/> good faith estimates	Total <i>Transportation</i> Expenses per Participant	Total <i>Lodging</i> Expenses per Participant	Total <i>Meal</i> Expenses per Participant
For each Member, Officer, or employee	\$309	\$232	\$74
For each accompanying relative			

	<i>Other</i> Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee		
For each accompanying relative		

**NOTE: Willful or knowing misrepresentations on this form
may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.**

19. Check one:

- a. I certify that I am an officer of the organization listed below. ☒ or
b. N/A – sponsor is an individual or a U.S. institution of higher education. ☐

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name: James Gannon

Title: Executive Director

Organization: Japan Center for International Exchange (JCIE/USA)

Address: 135 West 29th Street, Suite 303, NY, NY 10001

Telephone number: (212) 679-4130

Email address: jgannon@jcie.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (general fax)

Addendum (question #4)

1) Allen Klump, Deputy Chief of Staff, Office of Rep. Jeff Duncan

Mr. Klump was invited because he has traveled on JCIE's US Congressional Staff Exchange Program and his duties include keeping abreast of key issues in US-Japan trade and security relations that affect South Carolina.

2) Erin Doty, Legislative Director, Office of Rep. Raul Ruiz

Ms Doty was invited because she is an alumni of JCIE's US Congressional Staff Exchange program and her duties include covering issues related to trade, foreign policy, and healthcare cooperation with implications for US-Japan relations.

Susan W. Brooks, Indiana
Chairwoman
Theodore E. Deutch, Florida
Ranking Member

Kenny Marchant, Texas
Leonard Lance, New Jersey
Mimi Walters, California
John Ratcliffe, Texas

Yvette D. Clarke, New York
Jared Polis, Colorado
Anthony Brown, Maryland
Steve Cohen, Tennessee



ONE HUNDRED FIFTEENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON ETHICS

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the Chairwoman*

Daniel J. Taylor
Counsel to the Ranking Member

1015 Longworth House Office Building
Washington, D.C. 20515-6328
Telephone: (202) 225-7103
Facsimile: (202) 225-7392

October 19, 2018

Ms. Erin Doty
Office of the Honorable Raul Ruiz
1319 Longworth House Office Building
Washington, DC 20515

Dear Ms. Doty:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to New York, New York, scheduled for October 28 to 29, 2018, sponsored by Japan Center for International Exchange. We note that you are not accepting outbound transportation from the sponsor.

You must complete an Employee Post-Travel Disclosure Form (which your employing Member must also sign) and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. If you are required to file an annual Financial Disclosure Statement, you must also report all travel expenses totaling more than \$390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

A handwritten signature in blue ink, reading "Susan W. Brooks". The signature is fluid and cursive, with the first name "Susan" being the most prominent.

Susan W. Brooks
Chairwoman

A handwritten signature in blue ink, reading "Theodore E. Deutch". The signature is fluid and cursive, with the last name "Deutch" being the most prominent.

Theodore E. Deutch
Ranking Member

SWB/TED:adw



JAPAN CENTER
FOR
INTERNATIONAL
EXCHANGE, INC.
(JCIE/USA)

(米国法人) 日本国際交流センター

135 West 29th Street, Suite 303, New York, NY 10001
Tel: (212) 679-4130 Fax: (212) 679-8410
URL: <http://www.jcie.org>

Ms. Erin Doty Travel Schedule (October 28-29, 2018)

Sunday, October 28

<E. Doty in NY on personal time>

- | | |
|-------------|---|
| 16:30 | Check in to Crowne Plaza Times Square |
| 16:45 | Meet in hotel lobby, depart for dinner |
| 17:30-19:30 | Dinner discussion on US-Asia relations with NY-based policy experts |

Monday, October 29

- | | |
|-------------|--|
| 9:30-10:30 | Pre-seminar consultation |
| 11:15-11:30 | Depart hotel, walk to event venue |
| 11:30 | Arrive at 1221 Avenue of the Americas |
| 12:00 | Seminar: "The Congressional Agenda & US-Japan Relations in the 116th Congress"
Attendees: 25~35 senior business executives, government officials, and policy experts engaged in US-Asia relations |
| 12:00-12:15 | Registration, get buffet lunch |
| 12:15-12:30 | Welcome & Opening Remarks
James Gannon, Executive Director, JCIE/USA |
| 12:20-12:50 | Initial remarks by guest speakers |
| 12:50-13:55 | Discussion |
| 13:55-14:00 | Closing remarks |
| 14:00 | Meeting closes |
| 14:00-14:30 | Wrap-up, then depart for Penn Station |
| 14:30-14:45 | Travel to Penn Station |
| 15:04-18:04 | Amtrak from NY Penn Station to DC Union Station |